

**MURGAON EDUCATION SOCIETY'S VASANT JOSHI COLLEGE OF
ARTS & COMMERCE ZUARINAGAR-GOA
B.COM. (NEP) SEMESTER III END (Regular/Repeat) ASSESSMENT, OCTOBER 2024
MINOR COURSE: INTRODUCTION TO CAPITAL MARKET CBF-211**

Instructions

- 1) Question paper consist of a total of five questions with all questions carrying equal marks.
- 2) Q.No. 1 is compulsory.
- 3) Internal choice in Q.No. 2 to Q.No. 5 is provided.
- 4) Figures to the right indicate maximum marks allotted.

Duration: 02 Hours

Max. Marks: 80

- Q.1 Answer the following: 8 x 2 Marks
1. Describe any two intermediaries in the Indian Securities Markets.
 2. What is Investors Protection?
 3. What is an Initial Public Offering (IPO)?
 4. Who are the different types of Investors in the Primary Market?
 5. What are the main functions of secondary markets?
 6. What are the advantages of listing on a stock exchange?
 7. What is Foreign Stock Exchange?
 8. List the major stock markets in Europe. (Any Two).
- Q.2 A. Describe the role of SEBI in Indian Capital Market? 12 Marks
- OR
- Q.2 B. Discuss the process of book-building. 12 Marks
- Q.2 C. Interpret Internet Trading. 04 Marks
- Q.3 A. Explain the Trading and Settlement Mechanism in Indian Stock Exchanges. 12 Marks
- Q.3 B. Illustrate the functions of New Issue Market? 04 Marks
- OR
- Q.3 C. Demonstrate the role of Securities Markets? (Any Two) 04 Marks
- Q.4 A. Analyse the role of Players on NYSE trading floor. 12 Marks
- Q.4 B. Explain in brief the concept of Delisting 04 Marks
- OR
- Q.4 C. Explain any two Asian Stock Markets in brief. 04 Marks
- Q.5 A. Evaluate the various Capital market instruments 12 Marks
- Q.5 B. Explain the functions of Merchant Bankers. 04 Marks
- OR
- Q.5 C. Illustrate NASDAQ. 04 Marks
