

**M.E.S. VASANT JOSHI COLLEGE OF ARTS & COMMERCE**

**Zuarinagar, Goa**

**B.COM (NEP) SEMESTER- III END ASSESSMENT (REGULAR) OCTOBER 2024**

**CBF-211 : INTRODUCTION TO CAPITAL MARKET (MINOR)**

**Duration:** 02 Hours

**Marks:** 80

**Instructions:**

- 1) Question paper consists of a total of five questions with all questions carrying equal marks.
  - 2) Q. No. 1 is compulsory, answer questions in not more than 50 words each.
  - 3) Internal choice in Q. No. 2 to Q. No. 5 is provided.
  - 4) Figures to the right indicate maximum marks allotted.
  - 5) Question with 4 marks, answer in not more than 100 words each.
  - 6) Question with 12 marks, answer in not more than 400 words each.
- 

**Q.1. Answer of the following: -**

**[8 X 2=16]**

- a) What the role of securities market?
- b) List out the various functions of Merchant Bankers.
- c) State the meaning of foreign stock exchange.
- d) What are the functions of secondary market?
- e) State the various capital market intermediaries.
- f) What are the measures taken by SEBI in primary market.(Any two)
- g) List out four popular online trading platform in India.
- h) What is the meaning of NASDAQ?

**Q.2. (A) Discuss the role of SEBI in Indian Capital Market.**

**[12]**

**OR**

**Q.2. (B) Explain the types of Public Issues.**

**[12]**

**Q.2. (C) Describe the role of clearing house.**

**[04]**

**Q.3. (A) Explain the trading & settlement mechanism in Indian Stock Exchange.**

**[12]**

**Q.3. (B) Illustrate the functions of new issue market**

**[04]**

**OR**

**Q.3. (C) Explain the Investor Protection in the securities market.**

**[04]**

**Q.4. (A) Analytically explain the NYSE and players on NYSE trading floor.**

**[12]**

**Q.4. (B) Discuss the stock market indices.**

**[04]**

**OR**

**Q.4. (C) Explain briefly any two Asian Stock Market.**

**[04]**

**Q.5. (A) Critically evaluate the major reforms in capital market.**

**[12]**

**Q.5. (B) Describe the process of Book Building.**

**[04]**

**OR**

**Q.5. (C) Explain briefly London Stock exchange.**

**[04]**

---