

**M.E.S. COLLEGE OF ARTS & COMMERCE, ZUARINAGAR-GOA**  
**B.Com (CBCS) III Semester End Examination (Regular/ Repeat), January, 2022**  
**GE 3 - INDIAN CAPITAL MARKET (UCOG123)**

**Instructions:**

- i. All questions are compulsory. However internal choice is available
- ii. Answer the sub-questions in Question 1 and Question 2 in **not more than 100 words each.**
- iii. Answer question from question 3 to 6 in **not more than 400 words each.**
- iv. Figures to the right indicate maximum marks to the questions/sub-questions.

**Duration: 2 Hours**

**Max. Marks : 80**

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**Q.1 Answer Any Four** of the following questions: **(4× 4 =16)**

- i) What are the different types of Capital Market Instrument?
- ii) Who are the participants in the Indian capital market?
- iii) Write a short note on Investor Protection.
- iv) Explain the functions of Primary Market.
- v) Write a short note on Initial Public Offering.
- vi) Explain the functions of Merchant Banking?

**Q.2 Answer Any Four** of the following questions: **(4× 4 =16)**

- a) Distinguish between Primary and Secondary market.
- b) What are the functions of Secondary Market?
- c) Write a short note on International Exchanges and Indices.
- d) Explain the trading and settlement of Derivatives.
- e) What are the features of Future Contract?
- f) Explain the benefits of Derivatives.

**Q.3 A) Describe the major reforms in Capital Market in India. (12)**

**OR**

**B) Write a short note on SEBI? Describe its role in Indian Capital Market. (12)**

**Q.4 A) What is Merchant Banking? Explain its role in Issue Management. (12)**

**OR**

**B) Explain the Book Building Process (12)**

**Q.5 A) What is Listing of Shares? Explain the Procedure of Listing. (12)**

**OR**

**B) Explain the rights and obligations of investors in Secondary Markets. (12)**

**Q.6 A) What are Derivatives? Explain the different types of Derivatives Contracts. (12)**

**OR**

**B) Explain the regulatory and risk management process for Derivatives. (12)**

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